## Docket No. 428291/0024

#### N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nokihisa Adachi, et al.

Group Art Unit: 3724

Application No.: 10/773,589

Examiner: Phong H. Nguyen

Filed: February 6, 2004

For: METHOD FOR CONTROLLING SLITTER-SCORER APPARATUS

Date: April 28, 2005

## CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)

#### Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

Return Postcard; Information Disclosure Statement (3 pgs); PTO/SB/08A&B (2 pgs); two references and European Search Report

is being deposited on April 28, 2005 with the United States Postal Service as first class mail in an envelope bearing sufficient postage thereon and addressed to:

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450.

David L. Schaeffer

(Typed Or Printed Name Of Jerson Mailing Correspondence)

(Signature Of Person Mailing Correspondence

# Docket No. 2 TRADE IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 428291/0024

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Group Art Unit: 3724

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Date: April 28, 2005

#### **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

For:

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Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Information Disclosure Statement by Applicant form (PTO/SB/08A and/or B, PTO-1449, or equivalent).

The following checked sections apply to this Information Disclosure Statement:

X	In accordance with 37 C.F.R. § 1.98(a)(2)(ii) as revised at 69 Fed. Reg. 56481
	(September 21, 2004), copies of the cited U.S. patent application publication(s) and/or
	U.S. patent(s) have not been submitted.

The cited reference(s) were called to Applicant'(s) attention in a counterpart foreign patent application. A copy of the official communication in that counterpart foreign patent application citing the reference(s) is submitted herewith, and the Examiner is respectfully directed thereto for a concise explanation of the relevance of the cited art.

$ \mathbf{X} $	At least some of the cited references are not (or the cited reference is not) in English, and
	so English abstracts of those references (that reference) are provided, if available.

At least some of the cited references are not (or the cited reference is not) in English, and so machine English translations of those references (that reference) are provided, where available.

This Information Disclosure Statement is being filed (1) within three months of the filing date of a national application other than a continued prosecution application under Sec. 1.53(d), (2) within three months of the date of entry of the national stage as set forth in Sec. 1.491 in an international application, (3) before the mailing of a first Office action on the merits, or (4) before the mailing of a first Office action after the filing of a Request

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U.S. Patent Appln. No. 10/773,589
 Information Disclosure Statement
 Filed April 28, 2005

for Continued Examination under 37 C.F.R. § 1.114. Accordingly, neither a fee nor Statement under 37 C.F.R. § 1.97(e) is due.

- This Information Disclosure Statement is being filed before the mailing date of any of a final action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application, and so is accompanied by one of:
  - (1) A Statement under 37 C.F.R. § 1.97(e), which follows; or
  - (2) The fee set forth in 37 C.F.R. § 1.17(p), authorization for which follows.
- This Information Disclosure Statement is being filed before on or before payment of the issue fee and is accompanied by:
  - (1) A Statement under 37 C.F.R. § 1.97(e), which follows; and
  - (2) The fee set forth in 37 C.F.R. § 1.17(p), authorization for which follows.
- **X** Fee Under 37 C.F.R. § 1.17(p)

The Commissioner is authorized to charge the fee under 37 C.F.R. § 1.17(p) (\$180) to Deposit Account No. 19-4709.

Statement Under 37 C.F.R. § 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or

No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in Sec. 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

**X** Additional Comments

Applicant(s) have the following additional comments:

All of the cited references except for JP-8-11245 were cited in the Search Report for EP Appln. No. 04 00 3153. JP-8-11245 is discussed at page 4 of the specification.

U.S. Patent Appln. No. 10/773,589 Information Disclosure Statement Filed April 28, 2005

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the accompanying Information Disclosure Statement by Applicant form (PTO/SB/08A and/or B, PTO-1449, or equivalent) be returned to the undersigned indicating that such information has been considered.

Other than any fees mentioned above, no fees are believed to be due in connection with this Information Disclosure Statement. Nevertheless, the Commissioner is authorized to charge any fees which are deemed to be now or hereafter due in connection with this paper to Deposit Account No. 19-4709.

Favorable consideration and prompt allowance of this application is respectfully requested. In the event that there are any questions, or should additional information be required, please contact Applicant'(s) attorney at the number listed below.

Respectfully submitted,

David L. Schaeffer

Reg. No. 32,716

Attorney for Applicant(s)

Stroock & Stroock & Lavan, LLP

180 Maiden Lane

New York, New York 10038

(212) 806-5400

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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ction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 10/773,589 Filing Date February 6, 2004 First Named Inventor Nokihisa Adachi, et al. Art Unit 3724 Examiner Name Phong H. Nguyen

(Use as many sheets as necessary)

form 1449A/PTO

Attorney Docket Number 428291/0024 Sheet

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 5,761,980	06-09-1998	Ima et al.	
		<sup>US-</sup> 5,857,395	01-12-1999	Böhm et al.	
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FOREIGN PATENT DOCUMENTS										
Examiner Cite Initials* No		Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> 'Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>				
		JP-10-000704-A	01-06-1998	Isowa Corp.	w/ abst. & transl.	X				
		JP-8-11245-A	1-16-1996	Isowa Corp.	w/ asbt. & transl.	X				

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO						Complete if Known
					Application Number	10/773,589
	INFORM	ATION DIS	CLC	SURE	Filing Date	February 6, 2004
STATEMENT BY APPLICANT				ICANT	First Named Inventor	Nokihisa Adachi, et al.
(Use as many sheets as necessary)					Art Unit	3724
					Examiner Name	Phong H. Nguyen
_	Sheet	2	of	2	Attorney Docket Number	428291/0024

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
		Search Report in corresponding European Patent Application No. 04 00 3153, dated April 15, 2005				
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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